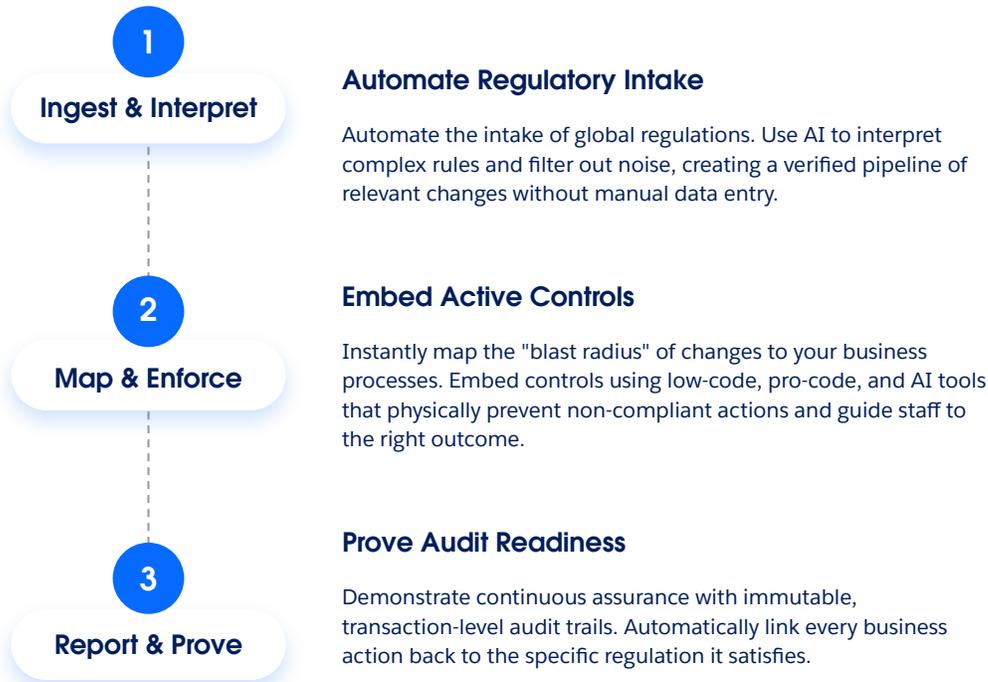salesforce

Transform Policy into Active Enforcement

Here's how it works.

Transform static compliance manuals into active, automated guardrails. Embed governance directly into daily workflows to help prevent risk in real-time

1

Ingest & Interpret

2

Map & Enforce

3

Report & Prove

Automate Regulatory Intake

Automate the intake of global regulations. Use AI to interpret complex rules and filter out noise, creating a verified pipeline of relevant changes without manual data entry.

Embed Active Controls

Instantly map the "blast radius" of changes to your business processes. Embed controls using low-code, pro-code, and AI tools that physically prevent non-compliant actions and guide staff to the right outcome.

Prove Audit Readiness

Demonstrate continuous assurance with immutable, transaction-level audit trails. Automatically link every business action back to the specific regulation it satisfies.

See what's included in this solution:

Regulatory Intelligence:
Connects directly to external feeds to automate intake, filter noise, and visualize downstream impacts on your business.

Policy & Control Management:
Digitizes your governance framework, linking static policies to active, enforceable controls that can be embedded in the flow of work.

AI Validation & Frontline Assistance:
Provide real-time guidance to employees and intercept non-compliant communications before they are sent.

How can automated compliance help your business?

30%

Increase in productivity

ResearchGate/UiPath

25%

Improvement in adherence rates

ResearchGate/UiPath

33%

Faster risk detection

IBM, "Cost of a Data Breach Report 2023"

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Process Compliance Navigator

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Drive resilience and increase trust with active compliance

Unified Compliance Foundation

Move beyond disconnected spreadsheets with a dynamic data model. Link every regulation to the internal policies and business processes it governs, establishing a single source of truth for your entire enterprise.

Enforce at Point of Execution

Shift from detecting errors to preventing them. Embed automated controls and validation logic directly into daily workflows, guiding frontline teams and AI agents to more compliant outcomes.

Agentic AI Safety Layer

Deploy a real-time safety net that rigorously monitors regulatory and policy updates. Intercept potentially non-compliant actions and helps prevent hallucinations, helping enforce compliance in every interaction, whether it is performed by human or AI.

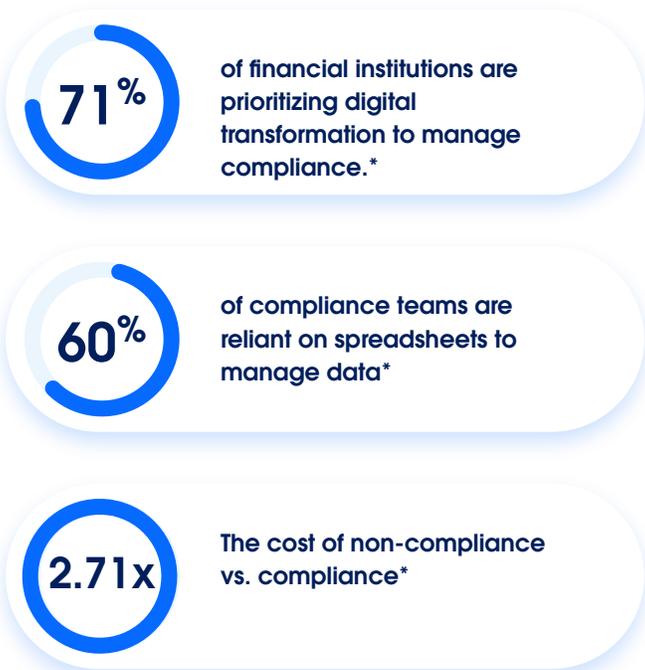## Accelerate Regulatory Agility

Eliminate the lag time between a rule change and adoption. Use Agentic AI, alongside human teams, to instantly map the "blast radius" of new regulations and update controls in days, not months, without heavy IT coding

Continuous Audit Readiness

End the audit fire drill. Automatically capture an immutable, transaction-level audit trail for every process execution, demonstrating compliance coverage and effectiveness to regulators on demand.

Automated Regulatory Change Management

Automate your regulatory intake to eliminate blind spots. Instantly ingest and interpret updates from data providers, using AI to automatically map the "blast radius" and assess the impact on your specific business processes before they become risks

71% of financial institutions are prioritizing digital transformation to manage compliance.*

60% of compliance teams are reliant on spreadsheets to manage data*

2.71x The cost of non-compliance vs. compliance*

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Ingest & Interpret

Regulation and Policy Management Capabilities

Centralize your regulatory intake to eliminate blind spots. Transform static documents into dynamic, living data and create a unified source of truth for your governance framework.

Regulation Management

The single source of truth. A centralized collection of all regulations and clauses linked to your controls to ensure full traceability.

Policy Management

Define themes, objectives, and versions of policies, and map them directly to regulations to ensure internal governance mirrors external mandates.

Policy Versioning

Track the lifecycle of policies with distinct versions to ensure you know exactly which policy was active at any time.
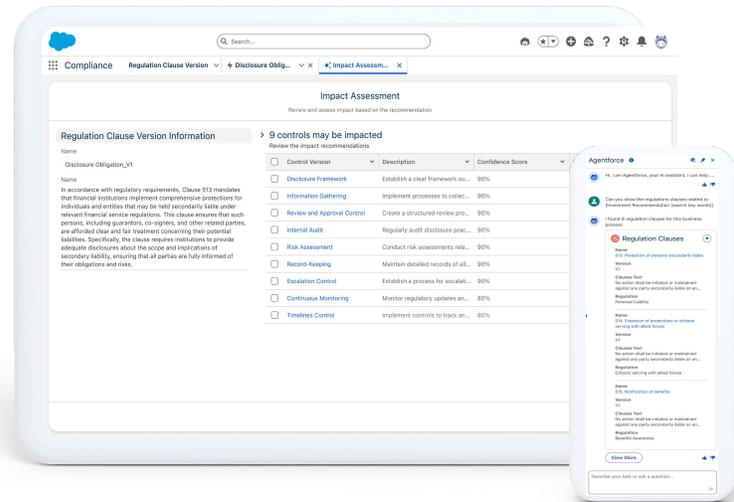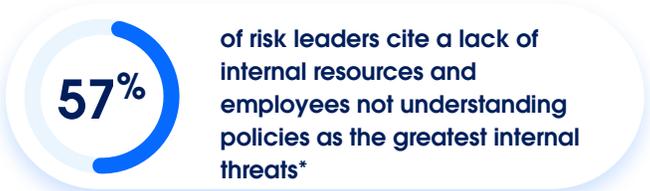
Regulation Versioning

Manage changes to regulations over time with strict version control, ensuring you have a granular audit history of every rule update.

Policy Repository

A centralized repository for managing policy lifecycles, organizing specific clauses, and mapping requirements directly to regulatory authorities

Policy Attestation

Formal verification. Request and track formal confirmations from control owners that they are executing their required duties

75% of financial firms pay annual compliance fines of at least $5 million, with nearly a quarter paying over $25 million.

57% of risk leaders cite a lack of internal resources and employees not understanding policies as the greatest internal threats*

53% of companies achieve enhanced insights and faster decision-making through AI-driven risk modeling*

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Source: BioCatch, Managing Financial Crime; Thomson Reuters, 10 Global Compliance Concerns for 2026; Deloitte, State of AI in the Enterprise 2026

Map & Enforce

Control Implementation and Frontline Execution

Operationalize your governance. Instantly map the "blast radius" of changes and embed reusable, low-code controls directly into business workflows to prevent risk.

Impact Analysis

Identify which business processes, policies, and stakeholders are impacted by a new regulation using visual mapping tools.

Compliance Controls Management

Create, version, and manage controls. Define exactly which tool (BRE, Apex, LLM Prompt) will enforce them and maintain a history of enforcement logic.

Business Process Management

A centralized registry of business processes, owners, and mapped regulatory and policy clauses/controls to ensure clear ownership.

Discovery Framework

Digital data collection. Create complex questionnaires (like KYC) with versioning and dynamic logic to standardize intake.

Disclosures and Consent

Manage standard disclosures and capture consent during workflows.

Document Checklist

Stop chasing paper. Automate the collection and review of supporting documents directly on the record.

Controls Testing

Verify effectiveness. Define test plans, assign testers, capture evidence, and track results.

Control Invocation

Automatically invoke controls within workflows to prevent violations in real-time. Instantly log results, context, and the active policy version for an unbreakable, audit-ready chain of evidence.

Profiles & Permissions

Least privilege. Control user access to data and functionality to strictly adhere to policies.

Stage Management

Automated gating logic. Automatically block a record from moving to the next stage of a business process until all compliance criteria are met.

Business Rules Engine Validation

No-code configuration of rules. Prevent compliance violations without complex development by using business rules engines.

Action Plans

Orchestrate the work with no-code tools. Define templates with sequences of tasks to help manage repeatable processes and ensure consistency.

Compliance Check

Enable any business process to invoke a compliance check via API and log the result instantly.

Validation Procedure Management

Group multiple validation controls into a single, reusable procedure to ensure consistent compliance checks. Invoke them as a unit from any business process–inside or outside Salesforce.

Apex Validation

Pro-code validation logic for complex scenarios, external system fetches, or custom evidence collection.

Control Mapping

Visualize relationships between controls, regulations, policies, and business processes to see visual lineage.

Compliant Data Sharing

Configure role-based record access based on contextual financial deal roles across objects like: Business Operations Process, Compliance Control, Compliance Policy, Regulation, Validation Procedure

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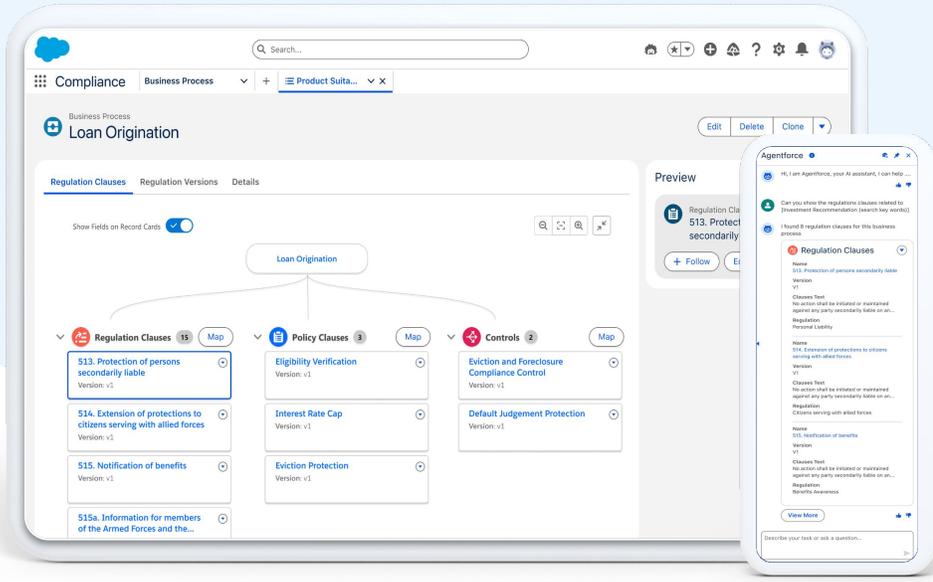
Report & Prove

Assurance, Auditability, and Reporting Capabilities

Replace manual fire drills with continuous, audit-ready proof. Demonstrate compliance strength to regulators with real-time reporting that links every control back to the specific law it satisfies.

Compliance Coverage Report

Demonstrate compliance by showing mappings between regulations, policies, controls, and processes.

Control Effectiveness Report

Demonstrate the efficiency of controls and compliance posture to regulators with pass/fail metrics.

Audit Trails

Capture immutable evidence logs of when controls were run, for which process, and what policy version was active, automatically capturing detailed evidence of the outcome for every execution.

Policy Coverage Reports

Identify coverage gaps and alignment to ensure processes meet regulatory standards, enabling proactive intervention when policies are not met.

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*Requires TabNext Add-on